

Form PTO-1449 (modified)

Atty. Docket No.

47171-00268USC1

Serial No.

09/607,019

List of Patents and Publications for Applicant's
8TH INFORMATION DISCLOSURE STATEMENT

Applicant

Richard A. Mazur et al.

Filing Date:

06/29/2000

Group: 3653

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1	US2001-0019624A1	09/01	Raterman et al.	382	135	
	A2	US2002-0001393A1	01/02	Jones et al.	382	100	
	A3	US2002-0020603A1	02/02	Jones et al.	194	346	
	A4	US2002-0056605A1	05/02	Mazur et al.	194	207	
	A5	US2002-0085245A1	07/02	Mennie et al.	358	498	
	A6	US2002-0085745A1	07/02	Jones et al.	382	135	
	A7	US2002-0103757A1	08/02	Jones et al.	705	45	
	A8	US2002-0104785A1	08/02	Klein et al.	209	534	
	A9	US2002-0107801A1	08/02	Jones et al.	705	45	
	A10	US2002-0118871A1	08/02	Jones et al.	382	137	
	A11	US2002-0122580A1	09/02	Jones et al.	382	137	
	A12	US2002-0126885A1	9/02	Mennie et al.	382	135	
	A13	US2002-0126886A1	09/02	Jones et al.	382	135	
	A14	US2002-0131630A1	09/19/02	Jones et al.	382	137	
	A15	US2002-0136442A1	09/26/02	Jones et al.	382	135	
	A16	US2002-0145035A1	10/10/02	Jones	235	379	
	A17	US2002-0154804A1	10/24/02	Jones et al.	382	135	
	A18	US2002-0154805A1	10/24/02	Jones et al.	382	135	
	A19	US2002-0154806A1	10/24/02	Jones et al.	382	135	
	A20	US2002-0154807A1	10/24/02	Jones et al.	382	135	
	A21	US2002-0154808A1	10/24/02	Jones et al.	382	135	
	A22	US2003-0009420A1	01/09/03	Jones	705	39	
	A23	US2003-0015395A1	01/23/03	Hallowell et al.	194	206	
	A24	US2003-0015396A1	01/23/03	Mennie	194	206	
	A25	US2003-0062242A1	04/03/03	Hallowell et al.	194	302	
	A26	US2003-0121752A1	07/03/03	Stromme et al.	194	207	
	A27	US2003-0121753A1	07/03/03	Stromme et al.	194	207	

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A28	4,787,518	11/29/88	Yuge et al.	209	534	
A29	5,051,900	09/24/91	Ito et al.	364	408	
A30	5,076,441	12/31/91	Gerlier	209	534	
A31	5,230,653	07/27/93	Shinozaki et al.	453	4	
A32	5,577,589	11/26/96	Garcia Tinoco	194	204	
A33	5,909,502	06/01/99	Mazur	382	135	
A34	5,909,503	06/01/99	Graves et al.	382	135	
A35	5,938,044	08/17/99	Weggesser	209	534	
A36	5,940,623	08/17/99	Watts et al.	395	712	
A37	5,943,655	08/24/99	Jacobsen	705	30	
A38	5,960,103	09/28/99	Graves et al.	382	135	
A39	5,966,456	10/12/99	Jones et al.	382	135	
A40	5,982,918	11/09/99	Mennie et al.	382	135	
A41	6,012,564	01/11/00	Mukai	194	206	
A42	6,026,175	02/15/00	Raterman et al.	382	135	
A43	6,028,951	02/00	Raterman et al.	382	135	
A44	6,039,645	03/21/00	Mazur	453	10	
A45	6,068,194	05/00	Mazur	235	492	
A46	6,072,896	06/00	Graves et al.	382	135	
A47	6,074,334	06/00	Mennie et al.	493	438	
A48	6,234,294	05/22/01	Defeo et al.	194	207	
A49	6,398,000	06/02	Jenrick et al.	194	200	
A50	6,459,806	10/01/02	Raterman et al.	382	135	
A51	6,460,705	10/08/02	Hallowell	209	534	
A52	6,493,461	12/10/02	Mennie et al.	382	135	
A53	6,539,104	03/25/03	Raterman et al.	382	135	
A54	6,560,355	05/06/03	Graves et al.	382	135	

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Form PTO-1449 (modified)	Atty. Docket No. 47171-00268USC1	Serial No. 09/607,019
Office of Patents and Publications for Applicant's 8TH INFORMATION DISCLOSURE STATEMENT AUG 04 2003 Page 3 of 5	Applicant Richard A. Mazur et al.	
	Filing Date: 06/29/2000	Group: 3653

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	GB 2,088,832		United Kingdom			N/A
	B2	DE 2760269		Germany			Yes (see C29)
	B3	EP 0613107 A1	08/94	Europe			N/A
	B4	JP 2-12492	02/90	Japan			Yes (see C23)
	B5	JP 2-22786	01/90	Japan			Abstract Only
	B6	JP 5-11355	07/93	Japan			Abstract Only
	B7	JP 54-60999	06/79	Japan			Yes (see C24)
	B8	JP 55-52538	12/80	Japan			Yes (see C25)
	B9	JP 58-139296	08/83	Japan			Abstract Only
	B10	JP 59-186079	10/84	Japan			Abstract Only
	B11	JP 59-231692	12/84	Japan			Abstract Only
	B12	JP 60-191379	09/85	Japan			Abstract Only
	B13	JP 60-215293	11/28/85	Japan			Yes (see C26)
	B14	JP 61-82290	04/25/86	Japan			Abstract Only
	B15	JP 62-220843	09/87	Japan			Yes (see C27)
	B16	JP 63-91794	04/88	Japan			Abstract Only
	B17	JP 63-276688	11/88	Japan			Yes (see C28)
	B18	JP 64-064090	03/89	Japan			Abstract Only
	B19	WO 97/30422	02/97	International (PCT)			N/A

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Billcon: 38 th Banking Uniform Show (1 page) and translation (2 pages) (1998)
	C2	Billcon: D212 - Odd Bill Detecting Bill Counter - Instruction Manual, 17 pages, Japanese and translation (page 17 dated 1994)
	C3	Billcon: D212 Note Counter, 4 pages - Japanese and Translation (date unknown)
	C4	De La Rue Systems Currency Sorting Machines With Pattern Recognition: 3120 User Guide, 2 pages (1987)

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C5	De La Rue Systems Limited Use Of The Diagnostics Function 3110 Mk.II/3120 Machines 10 pages (10/86) (printed 01/30/03)
C6	De La Rue Systems U.S. Dollar Sorter: 3110 MK II International Currency Sorting Machine - User Guide, 2 pages (1987)
C7	De La Rue Systems: 2300 - Operating Instruction Manual, 3 pages (© 1986)
C8	De La Rue Systems: 2700VB brochure, 1 page (12/9/96)
C9	De La Rue Systems: 3100 Series Operator Instruction Manual, (52 pages) (10/86) (printed 01/20/2003)
C10	De La Rue: 2700 Currency Counting Machine - User Guide, Revision 1, 53 pages (8/26/99)
C11	G&D: CHP 50 User's Guide, 61 pages (3/98)
C12	Geldinstitute (1983) (2 pages) (printed 1/24/03)
C13	Geldinstitute (1983) (translation of above) (2003) - 3 pages
C14	Glory: GFB 700 Bank Note Counting Machine - Operating Instructions, 32 pages (9/98)
C15	Glory: GSA-500 - Instruction Manual, 40 pages (prior to 7/98)
C16	Glory: GSA-500 - Operating Procedures, 9 pages (prior to 7/98)
C17	Japanese Reference X and Statement of Relevance - 11 pages
C18	JCM: BC-30 Bill Counter, 2 pages (date unknown, prior to 8/02)
C19	JCM: DBC-3 U.S. Dollar Bank Note Checker, 2 pages (date unknown, prior to 8/02)
C20	Mosler/Toshiba: Model CS-6600 Currency Handler brochure- "Let Mosler Help You Cut Costs Four Ways," 4 pages (© 1993)
C21	Musashi Co.: Operation Manual for Maintenance and Learning Modes for Tellac-5, 5DD, SD, DDA, A & SSD, 13 pages (prior to 08/02)
C22	Toshiba: CF-400 Series Fitness Sorter, 6 pages (estimated 1989 or earlier)
C23	Translation of JP 2-12492
C24	Translation of JP 54-60999
C25	Translation of JP 55-52538
C26	Translation of JP 60-215293
C27	Translation of JP 62-220843
C28	Translation of JP 63-276688
C29	Translation of DE 2760269
C30	Translation of DE 2659929
C31	Translation of DE 2935668

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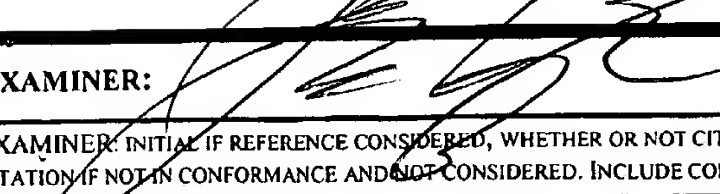
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<div style="position: absolute; left: -100px; top: 0px; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;"> OFFICE Aug 04 2003 </div> List of Patents and Publications for Applicant's 8TH INFORMATION DISCLOSURE STATEMENT Page 5 of 5	Applicant Richard A. Mazur et al.	
	Filing Date: 06/29/2000	Group: 3653

C32	Translation of EP 0077464 A2
C33	Translation of EP 0342647 A2
C34	Translation of JP 56-16287
C35	Translation of JP 63-271687
C36	JetScan Currency Scanner/Counter, Model 4062, Operating Instructions by Cummins-Allison 54 pages, (11/28/94)
C37	Sale of JetScan Currency Scanner/Counter, Model 4062, 1 page (11/28/94)

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

U.S. Patent and Trademark Office
 6th INFORMATION DISCLOSURE STATEMENT

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Richard A. Mazur et al.

Filing Date:

June 29, 2000

Group:

3653

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B23	EP 0487 316 A2	05/27/92	European	G07D	7/00	English

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C12	Complaint Cummins-Allison Corp. v. Glory Ltd., Glory Shoji Co. Ltd., and Glory (U.S.A.) Inc., Civil Action No. 02C-7008, N.D. Ill.
	C13	Redacted Declaration of Hiroya Mouri (12 pages) (11/19/02)
	C14	Glory Model UF-1, Instruction Manual (30 pages) (date uncertain, prior to 11/20/02)(Japanese)[11/19/02 Mouri Ex. 1]
	C15	Glory Model UF-1, Translation of Instruction Manual - (29 pages) (date uncertain, prior to 11/20/02) (Glory's translation) [11/19/02 Mouri Ex. 1a]
	C16	Glory UF-1 brochure (2 page)(date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 2]
	C17	Glory GFB-30 brochure (2 page) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 3]
	C18	Glory GFB-30 operation manual (16 pages) (date unknown, prior to 11/20/02) (Japanese) [11/19/02 Mouri Ex. 6]
	C19	Glory GSA-500 Service Manual (119 pages) (5/89) [11/19/02 Mouri Ex. 5]
	C20	Glory GFU-200 operator manual (26 pages) (date unknown, first page marked 92-3-2) (Japanese) [11/19/02 Mouri Ex. 8]
	C21	Glory GFR-110 Instruction Manual - Currency Reader Counter (26 pages) (dated 8/23/99) [11/19/02 Mouri Ex. 10]
	C22	Glory GFR-S Series Currency Counters/Discriminators GFR-S60, GFR-S80, GFR-S80V (4 pages) (date © 2002) [11/19/02 Mouri Ex. 12]
	C23	Declaration of Sadaaki Uesaka (7 pages) (11/19/02)
	C24	Glory's Monthly Newsletter, June 1985 (38 pages) (Japanese) [11/19/02 Uesaka Ex. 2]
	C25	Glory's Monthly Newsletter, June 1985 - partial translation (4 pages) [11/19/02 Uesaka Ex. 3]

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Form PTO-1449 (modified)	Atty. Docket No. 47171-00268USC1	Serial No. 09/607,019
U.S. DEPT. OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE 6 TH INFORMATION DISCLOSURE STATEMENT FEB 8 3 2003 Page 2 of 5	Applicant Richard A. Mazur et al.	
	Filing Date: June 29, 2000	Group: 3653

C26	Glory Money O.A. Catalog, June 1989 (44 pages) (Japanese) [11/19/02 Uesaka Ex. 5]
C27	Declaration of Akira Hoyo (5 pages) (11/15/02)
C28	Billcon D-202/204 Service Manual - Second Translation (Glory) (cover marked 630229) (25 pages) [11/15/02 Hoyo Ex. 2a]
C29	Billcon D-202/204 - Nikkin Newspaper ad, April 17, 1987 (2 pages) (Japanese) [11/15/02 Hoyo Ex. 3]
C30	Billcon D-202/204 - Nikkin Newspaper ad, April 17, 1987 (2 pages) (English translation) [11/15/02 Hoyo Ex. 3a]
C31	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (Japanese) [11/15/02 Hoyo Ex. 5]
C32	Billcon D-202/204 brochure (2 pages) (date uncertain, prior to 11/20/02) (English translation) [11/15/02 Hoyo Ex. 5a]
C33	Billcon D-202/204 videotape of Japanese television show entitled "Small and Worldwide Companies" (allegedly aired 1/10/88) (Japanese) [11/15/02 Hoyo Ex. 6]
C34	Declaration of Philip C. Dolsen (6 pages) (11/20/02)
C35	Dolsen, Philip C. Curriculum (4 pages) (10/29/02) [11/20/02 Dolsen Ex. 1]
C36	OKI Semiconductor data book, MSM80C85A-2RS/GS/JS - 8 Bit CMOS Microprocessor (10 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3A]
C37	OKI Semiconductor data book, MSM80C88A-2RS/GS/JS - 8 Bit CMOS Microprocessor (27 pages) (alleged March 1989) [11/20/02 Dolsen Ex. 3B]
C38	Intel 80286, Intel data sheet, High Performance Microprocessor with Memory Management and Protection (28 pages; pages 3-1 to 3-55) (alleged 1988) [11/20/02 Dolsen Ex. 4]
C39	NEC uPD780C-1 Microprocessor, NEC data book (23 pages; pages 4-3 to 4-25) (alleged 1987) [11/20/02 Dolsen Ex. 5]
C40	NEC PD70216 processor, NEC data book (34 pages; pages 3-161 to 3-227) (alleged 1987) [11/20/02 Dolsen Ex. 6]
C41	Dolsen claim chart for claims 40-43, 46-48, 76-78, 81, 101, 105, 108, 110-111 U.S. Pat. No. 6,459,806 [11/20/02 Dolsen Ex. 7]
C42	Glory GFR-S80V Operation Keys, Pages 1-11 (5/17/02) English (GL000106-116)
C43	Glory Catalog pages 4-11 listing various Glory machines including GFU-100, GFF-8CF, GFF-8, GFB-500/520, GFF-8E, and GSA-500 and maintenance policy and fees, English (GL001916-1923) (date uncertain, last page dated 8/15/90)
C44	De La Rue 3000 Series Used banknote sorting machines, 10 legal size pages, English (GL001924-33) (date uncertain, prior to 11/4/02)
C45	De La Rue 3400/3500 Series High Speed Currency Sorting Systems brochure, 4 pages, English (GL001934-37) (© 1989)
C46	The New Billcon K-300 Series brochure, 2 pages, English (GL002389-90) (12-99)
C47	The New Billcon N-Series Compact Note Counter brochure, 2 pages, English (GL002391-92) (© 2000)

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	Filing Date: June 29, 2000	Group: 3653

C48	The New Billcon K-300 Series Brochure, 2 pages, (© 1999) English (GL002396-97)
C49	Billcon D-202/204 brochure, 2 pages, Japanese (date uncertain, prior to 11/7/02) (GL002398-99)
C50	De La Rue Teller Cash Dispatch™ Applications brochure, 8 pages, (© 1999) English (GL002475-2482)
C51	De La Rue Cash Systems, Coin Processing Banknote Counting brochure, 4 legal pages, (date uncertain, prior to 11/7/02) English (GL002485-88)
C52	De La Rue Cash Systems, 2650 Currency Counting Machine brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002489-90)
C53	De La Rue Cash Systems, The Euro Range for Note and Coin Handling brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002491-94)
C54	Currency Systems International Cobra™ Banknote Sorter brochure, 3 pages (middle page legal), (© 2001) English (GL002495-97)
C55	De La Rue Cash Systems Cobra™ 4004 Banknote Sorter brochure, 2 legal pages, (© 2001) English (GL002498-99)
C56	De La Rue Cash Systems TCR Twin Safe™ Teller Cash Recycler, 2 pages, (© 2001) English (GL002500-01)
C57	De La Rue's WestLB Panmure Marketing Pamphlet regarding Cash Systems Division, 16 pages, (4/29/02) English (GL002502-17)
C58	De La Rue Cash Systems 2800 VB Value Balancing currency counter brochure, 2 pages (9/99) English (GL002518-19)
C59	De La Rue Cash Systems 8672 Máquina contadora de billetes brochure, 2 pages (date uncertain, handwritten date 11/99) (Spanish) (GL002520-21)
C60	Sprintquip Quicksort™ 2800 brochure, 2 pages, (hand dated 9/99) English (GL002523-24)
C61	Brandt® Model 8643 Currency/Document Counter brochure, 2 pages, (© 1995) English (GL002527-28)
C62	De La Rue Cash Systems 2700VB Currency Counting Machine brochure, 2 pages (hand dated 9/99) English (GL002529-30)
C63	De La Rue Cash Systems Brandt 8625 Currency Counting Machine, 2 pages (hand dated 11/99) English (GL002531-32)
C64	De La Rue Cash Systems Branch Cash Automation Applications (Powerpoint), 28 pages, (date uncertain, prior to 11/7/02) English (GL002539-66)
C65	De La Rue Commercial Self Service (Powerpoint), 24 pages, (date uncertain, prior to 11/7/02) English (GL002567-90)
C66	De La Rue Automated Depositories (Powerpoint), 2 pages, (date uncertain, prior to 11/7/02) English (GL002591-92)
C67	De La Rue Systems 2800VB Value Balancing Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002593-94)
C68	De La Rue Systems 2700VB Specification page of brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002595)
C69	Magner® 15 Desktop banknote counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002596-97)
C70	MAG II Model 20 Currency Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002605-06)

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		Banc Equip Magner Products Product Price List, 2 pages, (date uncertain, prior to 11/7/02) English (GL002613-14)
	C72	Magner Model 35 Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002625-26)
	C73	Magner 75 Series Currency Counting Machines brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002627-28)
	C74	G&D BPS 200 Desktop Banknote Processing System brochure, 10 pages, (date uncertain, prior to 11/7/02) English (GL002629-38)
	C75	G&D BPS 200 Desktop Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002643-44)
	C76	G&D One Size Does Not Fit All! Brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002645)
	C77	G&D BPS 500 Banknote Processing System brochure, 4 pages, (date uncertain, prior to 11/7/02) English (GL002646-49)
	C78	G&D Numeron webpage picture of sorting machine and Design Award for Numeron, 2 page, (3/29/02) (GL002650-51)
	C79	G&D BPS 200 Banknote Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002652-53)
	C80	G&D BPS 500 Banknote Processing System brochure, 1 page (hand dated 9/99) English (GL002654)
	C81	G&D Company Magazine, 36 pages, (3/98) English (GL002655-90)
	C82	G&D Cards and Card Systems brochure, 15 pages, (© 1998) English (GL002691-2705)
	C83	G&D Portrait of a Company Group brochure, 19 pages, (© 1997) English (GL002706-24)
	C84	Mosler TouchSort™ Plus Currency Processing System brochure, 2 pages (© 1999) English (GL002727-28)
	C85	Mosler Satellite Branch Facilities – Riddell National Bank, Brazil, Indiana brochure, 1 page, (date uncertain, prior to 11/7/02) English (GL002729)
	C86	Mosler TouchSort™ Currency Processing System brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002730-31)
	C87	Mosler marketing brochure, 4 pages, (© 1999) English, (GL002732-35)
	C88	Glory UC-10-10A Brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002777-78)
	C89	Glory UC-10A pamphlet, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002779)
	C90	Glory pamphlet of various machines, 1 legal page, (date uncertain, prior to 11/7/02) Japanese (GL002780)
	C91	Glory UF-1 brochure, 2 pages, (date uncertain, prior to 11/7/02) Japanese (GL002781-82)
	C92	Glory GFU-200 Desk-top Currency Fitness Sorter/Counter brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL002839-40)
	C93	Glory GFR-100 Currency Reader Counter brochure, 4 pages, (© 1995, English (GL002860-63)
	C94	Glory GFR-100 Currency Reader Counter Instruction Manual, 31 pages (1/8/96) English (GL002864-94)

EXAMINER:

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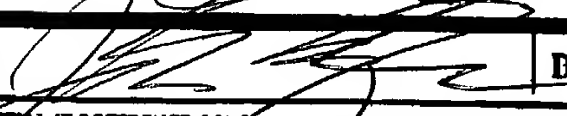
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GROUP 3600

Form PTO-1449 (modified)	Atty. Docket No. 47171-00268USC1	Serial No. 09/607,019
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Richard A. Mazur et al.	
Page 5 of 5	Filing Date: June 29, 2000	Group: 3653

C95	Glory Tank Currency Discriminators GFR-110 & GFR-S80 brochure, 2 pages, (© 2000) English (GL002959-60)
C96	Glory Currency Reader Counter GFR-S80, S60 Instruction Manual, 33 pages (11/1/00) English (GL002961-93)
C97	Glory UW-100 Compact Currency Fitness Sorter brochure, 2 pages (© 1999) English (GL003027-28)
C98	Glory Currency Fitness Sorter UW-100 Instruction Manual, 38 pages (2/19/02) English (GL003029-66)
C99	Glory UW-200 Multi-Purpose Company Currency Sorter brochure, 2 legal pages (© 1999) English (GL003067)
C100	Glory Currency Sorter UW-200 With Fitness sorting mode (FIT) Instruction Manual, 44 pages (10/23/01) English (GL003068-111)
C101	Billcon D-202-204 brochures, 6 pages, (date uncertain, prior to 11/7/02) Japanese (GL003112-17)
C102	Billcon® R-900 E-DS Note Counter with Dual Speed and Denomination Sorting Function brochure, 2 pages, (date uncertain, prior to 11/7/02) English (GL003167-68)
C103	Billcon R-900DS Currency Counter Operating Manual, 6 pages, (date uncertain, prior to 11/7/02) English (GL003169-74)
C104	Billcon R-900 Currency Counter Service Manual (601221), 31 pages, (date uncertain, prior to 11/7/02) English (GL003175-3205)

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